

01-14-02

A

01/11/02

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032.
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 57092.US

First Named Inventor Alan Sefton

Title Apparatus for Method of Recording a Location of an Object Having Externally Viewable Identifier

Express Mail Label No. EV 020 041 313 US

APPLICATION ELEMENTS
See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- 1 ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- 2 ☒ Applicant claims small entity status
- 3 ☒ Specification [Total Pages 11]
- 4 ☒ Drawing(s) (35 U.S.C 113) [Total Sheets 3]
- Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 C.F.R. 1.76
- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9 ☐ Assignment Papers (cover sheet & document(s))
- 10 ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- 11 ☐ English Translation Document (if applicable)
- 12 ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- 13 ☐ Preliminary Amendment
- 14 ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- 15 ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16 ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i)
Applicant must attach form PTO/SB/35 or its equivalent
- 17 ☐ Other:

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner

Group / Art Unit:

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer No. 000408 or Bar Code Label (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name LUEDEKA, NEELY & GRAHAM, P.C.

Address P.O. Box 1871

City Knoxville State Tennessee Zip Code 37901

Country U.S. Telephone 865-546-4305 Fax 865-523-4478

Name (Print/Type) Michael E. Sellers Registration No. (Attorney) 39,831

Signature *Michael E. Sellers* Date January 11, 2002

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)**454**

Complete If Known

Application Number	NEW
Filing Date	January 11, 2002
First Named Inventor	Alan Sefton
Examiner Name	
Group / Art Unit	
Attorney Docket No.	57092.US

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No. **12-2355**
Deposit Account Name:
LUEDEKA, NEELY & GRAHAM

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17.

2. ☒ Payment Enclosed: **Check No. 45662**

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$) 370

2. EXTRA CLAIM FEES

Total Claims 18 - 20** = 0 X = 0
Independent Claims 5 - 3** = 2 X 42 = 84

Multiple Dependent Claims or number previously paid, if greater. X =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim	
SUBTOTAL (2)					(\$) 84

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
Other fee (specify)					
SUBTOTAL (3)					(\$) 0

SUBMITTED BY

Typed or Printed Name **Michael E. Sellers**

Registration No. **39,831**

Signature 

Date **January 11, 2002**

LUEDEKA, NEELY & GRAHAM

A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW

P.O. BOX 1871
KNOXVILLE, TENNESSEE 37901-1871

PHONE (865) 546-4305
FACSIMILE (865) 523-4478

E-MAIL: firm@LNG-patent.com

INTELLECTUAL PROPERTY LAW
INCLUDING PATENTS,
TRADEMARKS, COPYRIGHTS,
TRADE SECRETS,
TECHNOLOGY LICENSING
AND RELATED LITIGATION

SHIPPING ADDRESS:
SUITE 1871, RIVERVIEW TOWER
900 SOUTH GAY STREET
KNOXVILLE, TENNESSEE 37902

ANDREW S. NEELY
MARK S. GRAHAM
ROBERT O. FOX
DAVID E. LAROSE
GEOFFREY D. KRESSIN
MICHAEL E. SELLERS
RICHARD W. BARNES, JR.
JASON L. HORNKOHL
MARK P. CROCKETT
J. DAVID GONCE
MICHAEL T. LUKON
RACHEL H. McCOLLUM

FRED THOMSEN
OF Counsel

January 11, 2002

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Re: APPARATUS FOR METHOD OF RECORDING
A LOCATION OF AN OBJECT HAVING AN
EXTERNALLY VIEWABLE IDENTIFIER
Patent Application With Missing Parts
LNG File No. 57092.US / C-6315.5


Enclosed is the specification, claims, formal drawings and transmittal letter for a patent application.
Because the inventor was not available to execute the oath or declaration, none is submitted herewith. The
inventor's name, address and citizenship is as follows:

Inventor(s) Name And Address	Citizenship
Alan Sefton 604 Battle Front Trail Knoxville, TN 37922-6608	U.S.

A properly executed declaration will be submitted within the time period set. Under 37 C.F.R.
§ 1.53(b), the application as filed is entitled to a filing date, and it is respectfully requested that the application
receive a filing date of January 11, 2002, under the Express Mail procedure (37 C.F.R. § 1.10).

Respectfully submitted,

LUEDEKA, NEELY & GRAHAM, P.C.

By: 
Michael E. Sellers
Registration No. 39,831

MES:cmw
Enclosures
F:\57092\57092us.missing tl.wpd

"Express Mail" Mailing Label No. EV 020 041 313 US
Date of Deposit: January 11, 2002